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APPLICATION FOR LETTERS PATENT

**System and Method to Specify Device Specific User  
Interface Information in the Firmware of a USB Device**

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## **RELATED APPLICATIONS**

This application is related to a prior US Patent Application filed February 4, 2000, titled "Host-Specified USB Device Requests", serial number 09/498,056, which is hereby incorporated by reference.

## **TECHNICAL FIELD**

The following description relates generally to the use of peripheral devices with software applications. More specifically following description relates to the use of device-specific information and resources with such software applications.

## **BACKGROUND**

The Universal Serial Bus (USB) is a cable bus that supports data exchange between a host computer and a wide range of simultaneously accessible peripheral devices. The attached peripheral devices share USB bandwidth through a host-scheduled, token-based protocol. The bus allows peripherals to be attached, configured, used, and detached while the host and other peripherals are in operation.

The USB is defined by a specification that is approved by a committee of industry representatives. The specification covers all aspects of USB operation, including electrical, mechanical, and communications characteristics. To be called a USB device, a peripheral must conform to this very exacting specification.

USB device information is typically stored in so-called "descriptors" or request codes—data structures formatted as specified by the USB specification. Descriptors are used in a USB system to define "device requests" from a host to a peripheral device. A device request is a data structure that is conveyed in a

1 “control transfer” from the host to the peripheral device. A control transfer  
2 contains the following fields:

- 3 • *bmRequestType*—a mask field indicating (a) the direction of data  
4 transfer in a subsequent phase of the control transfer; (b) a request  
5 type (standard, class, vendor, or reserved); and (c) a recipient  
6 (device, interface, endpoint, or other). The primary types of  
7 requests specified in the “request type” field are the “standard”  
8 and “vendor” types, which will be discussed below.
- 9 • *bRequest*—a request code indicating one of a plurality of different  
10 commands to which the device is responsive.
- 11 • *wValue*—a field that varies according to the request specified by  
12 *bRequest*.
- 13 • *wIndex*—a field that varies according to request; typically used to  
14 pass an index or offset as part of the specified request.
- 15 • *wLength*—number of bytes to transfer if there is a subsequent data  
16 stage.

17 All USB devices are supposed to support and respond to “standard”  
18 requests—referred to herein as “USB-specific” requests. In a USB-specific  
19 request, the request type portion of the *bmRequestType* field contains a predefined  
20 value indicative of the “standard” request type.

21 Each different USB-specific request has a pre-assigned USB-specific  
22 request code, defined in the USB specification. This is the value used in the  
23 *bRequest* field of the device request, to differentiate between different USB-  
24 specific requests. For each USB-specific request code, the USB specification sets  
25

1 forth the meanings of *wValue* and *wIndex*, as well as the format of any returned  
2 data.

3 USB devices can optionally support “vendor” requests—referred to herein  
4 as “device-specific” requests. In a device-specific request, the request type  
5 portion of the *bmRequestType* field contains a predefined value to indicate a  
6 “vendor” request type. In the case of device-specific requests, the USB  
7 specification does not assign request codes, define the meanings of *wValue* and  
8 *wIndex*, or define the format of returned data. Rather, each device has nearly  
9 complete control over the meaning, functionality, and data format of device-  
10 specific requests. Specifically, the device can define its own requests and assign  
11 device-specified request codes to them. This allows devices to implement their  
12 own device requests for use by host computers, and provides tremendous  
13 flexibility for manufacturers of peripherals.

14 The inventors have discovered a need for a similar feature that would  
15 benefit various hosts, application programs, host operating systems, hardware  
16 manufacturers (OEMs), and Independent Hardware Vendors (IHVs). Specifically,  
17 designers of application programs and operating systems would value the  
18 opportunity to define their own device requests (and the associated responses), and  
19 to have such requests supported in a uniform way by compatible peripherals.  
20 Moreover, OEMs and IHVs (makers and distributors of USB devices) would value  
21 the ability to supply additional USB device-specific information to the hosts,  
22 application programs and host operating systems in response to such device  
23 requests. However, the different request types supported in the *bmRequestType*  
24 field of a USB device request do not include a “host” type of request.

1 As an example of this need for a host type of request, consider that a typical  
2 USB device installation scenario typically involves customer use of installation  
3 media such as OEM/IHV supplied installation disks (e.g., a floppy disk) and/or  
4 setup computer program applications. Such installation media are generally  
5 shipped with the device or made available over the Internet. Installation media  
6 typically provide device-specific settings and resources such as: (a) a device driver  
7 to control the device; (b) one or more user interface elements; and (c) an  
8 information file (e.g., an ".inf" file) to specify names and locations of the setting  
9 and resources. Such user interface (UI) elements include, for example, icons,  
10 fonts, pictures, labels, help pages, Universal Resource Locator (URL) Internet  
11 links, and the like.

12 Upon installation, a USB device typically provides an operating system  
13 with USB standard class and subclass codes which are used to determine whether  
14 a generic, or default device driver can be used to control the device. If so, a  
15 special OEM/IHV supplied device driver may not be necessary to control the  
16 device. Thus, it is often unnecessary for customers to install the device drivers  
17 provided on the installation media for the device to function properly upon being  
18 attached.

19 However, as USB devices gain popularity, OEMs/IHVs typically want to  
20 load other device-specific brand information to allow an operating system to  
21 present a shell or user interface information that is appropriate to a particular USB  
22 device. Such information includes brand icons, fonts, pictures, labels, help pages,  
23 Universal Resource Locator (URL) Internet links, and the like. Thus, even though  
24 an installation media supplied device driver may not be required, an installation  
25 media including an information file still typically needs to be distributed with the

1 device to specify any device-specific shell or user interface information.  
2 (Hereinafter, “shell” and/or “user interface” information is often referred to  
3 generally as “user interface”, or “UI” information).

4 One of the benefits of using a USB device is the reduced the amount of  
5 interaction typically required of a user to attach and configure a device. This ease  
6 of use has typically reduced the amount of device installation related customer  
7 support that OEMs/IHVs have needed to provide. Thus, when a default device  
8 driver can be used to control a device, it would be beneficial (both in terms of  
9 customer ease of use and in terms of the amount of customer support typically  
10 required) if installation media such as installation disks or setup programs were  
11 not required to be distributed to specify device-specific UI information. Rather, an  
12 operating system could query a device for this additional device specific  
13 information. Unfortunately, because host-specific USB requests are not provided  
14 or considered by the USB specification, there are no standards that allow a vendor  
15 to provide additional USB device-specific information in a USB device in a format  
16 that is determined by an operating system.

17 Accordingly, the invention arose out of concerns associated with providing  
18 a host-specific device request that solves the problems described above.

## 19 20 **SUMMARY**

21 The described system and procedure provide for storing device-specific UI  
22 information into firmware on a USB device. Responsive to receiving a host  
23 specific device request, the USB device communicates the device specific  
24 information to a requestor such as an operating system or other computer program  
25 application. Thus, the system and procedure allow OEMs/IHVs to provide

1 additional brand specific information in a USB device in a format that can be  
2 determined by an operating system. Moreover, the system and procedure allows  
3 OEMs/IHVs to store device-specific UI information in the firmware of a USB  
4 device such that installation media does not need to be distributed with each USB  
5 device to specify the device-specific information.

## 6 7 **BRIEF DESCRIPTION OF THE DRAWINGS**

8 Fig. 1 is a block diagram of an exemplary host/peripheral USB system.

9  
10 Fig. 2 shows top-level methodological aspects of an exemplary procedure  
11 to obtain a device-specific request code for computer to use when making a host-  
12 specific device request.

13 Fig. 3 is a flowchart diagram that shows detailed aspects of an exemplary  
14 procedure to obtain a device-specific request code. Fig. 4 is a block diagram  
15 showing aspects of an exemplary data structure for an extended property  
16 descriptor.

## 17 18 **DETAILED DESCRIPTION**

19 The following description sets forth a specific embodiment of a system and  
20 procedure that incorporates elements recited in the appended claims. The  
21 embodiment is described with specificity in order to meet statutory requirements.  
22 However, the description itself is not intended to limit the scope of this patent.  
23 Rather, the inventors have contemplated that the claimed subject matter might also  
24 be embodied in other ways, to include different elements or combinations of

elements similar to the ones described in this document, in conjunction with other present or future technologies.

### **Exemplary System**

Fig. 1 shows a system 100 wherein device-specific settings and resources are stored on the USB device's firmware in a format specified by an operating system. These settings and resources are made available to the operating system and applications through a host-specified device request. Thus, the system and procedure of this implementation simplifies device installation scenarios and allows operating systems to identify an attached USB device and/or provide user interfaces that are appropriate for the device.

System 100 is compatible with the Universal Serial Bus (USB) specifications. These specifications are available from USB Implementers Forum, which has current administrative headquarters in Portland, Oregon (current Internet URL: [www.usb.org](http://www.usb.org)).

System 100 includes a host computer 102 and a USB peripheral device 114. The respective functionality of the computer and peripheral device is embodied in many cases by computer-executable instructions, such as program modules, that are executed by respective processors. Generally, program modules include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types.

Computer 102 is a conventional desktop PC or other type of computer. Computer 102 has one or more processors 104 and one or more forms of computer-readable memory media 106 such electronic memory, magnetic storage media, optical storage media, or some other type of data storage. Programs are



1 stored in memory 106 from where they are executed by processor 104. In this  
2 example, such programs include an operating system 108 such as the Microsoft  
3 "Windows"® family of operating systems. The operating system provides various  
4 system services to one or more application programs 110 running on the computer.

5 The computer also has a USB communications driver and port 112. The  
6 USB port is supported by operating system 108. To communicate with a USB  
7 device, an application program 110 makes high-level calls to system services  
8 provided by the operating system. The system services take care of lower level  
9 communications details, and return requested information to the application  
10 program.

11 Peripheral device 114 is one of any number of different types of USB  
12 devices such as a data storage device, a digital camera, a scanner, a joystick, game  
13 pad, steering unit, mouse, stylus, digital speaker, microphone, display device, and  
14 the like. Peripheral device 114 has one or more processors 116 and one or more  
15 forms of computer-readable memory media 118, including at least some form of  
16 non-volatile memory media 120.

17 The peripheral device 114 has a USB port 126 and communicates with  
18 computer 102 via a USB communications medium 128. The peripheral device  
19 also has operating logic 124, which is executed by processor 116 to detect control  
20 actuation and for communicating with computer 102 across communication path  
21 128.

22 The peripheral device 114 responds to requests from the host computer 102  
23 across the communication path 128. These requests are made using control  
24 transfers where setup packets (not shown) are exchanged. The USB device returns  
25 descriptors in response to exchanging such setup packets. Although the USB

1 Specification defines a number of different standard, class and vendor specific  
2 descriptors, an extended property descriptor 122 is not defined in the USB  
3 specification. The extended property descriptor includes UI information that  
4 pertains to the peripheral device. The UI information can be in any format such as  
5 a format specified by an operating system vendor. The extended property  
6 descriptor allows OEMs/IHVs to device specific UI information such as store  
7 icons, fonts, pictures, labels, help pages, Universal Resource Locator (URL)  
8 Internet links, and the like, in non-volatile memory 118 of the device.

9 In this implementation, prior to using the peripheral device, the computer  
10 sends a host-specific request to the peripheral device requesting device-specific UI  
11 information corresponding to the peripheral device. Such device specific UI  
12 information can be used, for example, to influence the behavior of an operating  
13 system UI shell , or to influence the behavior of other non-kernel components.

14 For example, a camera that exposes a "Volume" interface (a type of a disk  
15 storage device interface), is typically represented by an operating system such as a  
16 Microsoft "Windows"® operating system, as a disk storage device such as a  
17 logical drive. However, by accessing the extended property descriptor 122  
18 through a host-specific device request, the operating system can obtain additional  
19 information that corresponding to the camera. This additional information allows  
20 the operating system to display a more particular, or "device-centric" interface that  
21 illustrates the device as a "camera", rather than merely illustrating the device as a  
22 diskstorage device. Hereinafter, such UI information is often referred to as a  
23 device's "extended properties", or "properties".

24 In response to the host-specific request, the peripheral device 114 provides  
25 the extended property descriptor 122 to a requestor such as the host computer

1 102. Using the extended property descriptor, the requestor locates data  
2 corresponding to the peripheral device 114, and extracts the UI information. The  
3 operating system can use the UI information for any number of purposes such as  
4 to display text or "special names" that correspond to the device, icons,  
5 informational URLs, and the like.

6 The operating system 108 optionally stores the UI information provided by  
7 the extended configuration descriptor into a configuration file (not shown) such as  
8 a registry provided by a Microsoft Windows® operating system. The stored  
9 information can be subsequently accessed by other computer program  
10 applications.

#### 11 12 **Exemplary Procedure to Obtain a Device Specific Request Code**

13 Fig. 2 shows top-level methodological aspects of an exemplary procedure  
14 to obtain a device-specific request code for computer to use when making a host-  
15 specific device request. Generally, a new, non-USB-specific device request is  
16 defined for use with various USB peripherals. This request is referred to herein as  
17 a host-specific device request. Because of the described methodology, the host-  
18 specific device request can be defined by the manufacturer of an operating system  
19 or application program, and can then be made available to peripheral vendors so  
20 that they can support and respond to the newly defined request. As an example, an  
21 OS manufacturer might define a new descriptor allowing peripherals to return  
22 device-specific data and resources to an operating system in a data format that is  
23 determined by the operating system. This would allow the operating system to use  
24 a single device request to obtain this information from various different  
25

1 peripherals (assuming those peripherals are configured to support the new device  
2 request).

3 In an initialization phase 200, the host sends a request to the peripheral in  
4 the form of a USB-specified device request. The request is for a device-specific  
5 request code—of the device's choosing—that will be subsequently be used as the  
6 request code for the host-specific device request.

7 Once this request code is obtained, it is used in a subsequent phase 202 to  
8 initiate the host-specified device request to obtain the extended property descriptor  
9 122 of Fig. 1. Specifically, the host specifies the request code as the *bRequest*  
10 value in a control transfer. The actual protocol of this device request (meanings of  
11 *bIndex*, *bValue*, and the like) is as specified in the definition of the host-specific  
12 device request. Phase 202 is repeated as desired during subsequent operation,  
13 without repetition of initialization phase 200.

14 Fig. 3 shows more details regarding the initialization phase 200. The host  
15 performs an action 300 of sending a GET\_DESCRIPTOR device request to the  
16 peripheral device. The GET\_DESCRIPTOR device request is a standard, USB-  
17 specific request, identified in a control transfer by the GET\_DESCRIPTOR  
18 request code (*bRequest* = GET\_DESCRIPTOR). The fields of the control transfer  
19 (discussed above in the background section) have values as follows:

- 20 • *bmRequestType* = 10000000 (binary), indicating a “device-to-  
21 host” transfer, a “standard” or “USB-specific” type request, and a  
22 device recipient.
- 23 • *bRequest* = GET\_DESCRIPTOR. This is a constant (six) defined  
24 by the USB specification  
25

- *wValue* = 03EE (hex). The high byte (03) indicates that the request is for a “string” descriptor, and the low byte is an index value that is predefined as a constant in the definition of the host-specified device request. In this example, it has been defined as EE (hex), but could be predefined as any other value.
- *wIndex* = 0.
- *wLength* = 12 (hex). This is the length of a host-specific request descriptor that will be returned in response to this request. In the described example, the length is 12 (hex).
- *data*—returned host-specific request descriptor.

A compatible USB device is configured to respond to a request such as this (where *wValue* = 03EE (hex)) by returning a host-specific request descriptor such as the extended property descriptor 122 of Fig. 1. The extended property descriptor is not defined by the USB standard, but has fields as defined in the following discussion. The host-specific request descriptor designates a device-specific request code that will work on *this device* to initiate the host-specific request code. In other words, the manufacturer of the device can select any device-specific request code, and associate it with an implementation of the host-specific device request.

More specifically, the device receives the GET\_DESCRIPTOR device request (block 302) and performs a decision 304 regarding whether the index value (the second byte of *wValue*) corresponds to the predetermined value (EE (hex)). This predetermined value is a value that is chosen to be used specifically for this purpose.

1 If the index value does not correspond to the predetermined value, at block  
2 305 the device responds in an appropriate way, usually by returning some other  
3 descriptor that corresponds to the index value. If the index value does correspond  
4 to the predetermined value, an action 306 is performed of returning the host-  
5 specific request descriptor to the host.

6 The host-specific request descriptor includes, for example, the following  
7 fields:

- 8 • *bLength*—the length of the descriptor (12 (hex) in this example).
- 9 • *bDescriptorType*—the type of descriptor (string type in this  
10 example).
- 11 • *qwSignature*—a signature confirming that this descriptor is indeed  
12 a descriptor of the type requested. The signature optionally  
13 incorporates a version number. For example, in the described  
14 example MSFT100 indicates that this descriptor is for an “MSFT”  
15 host-specific device request, version “100” or 1.00.
- 16 • *bVendorCode*—the device-specific request code that is to be  
17 associated with the host-specified device request.
- 18 • *bPad*—a pad field of one byte.

19 The host receives the host-specific request descriptor (block 308) and then  
20 performs an action 310 of checking or verifying the signature and version number  
21 found in the *qwSignature* field. The correct signature confirms that the device is  
22 configured to support host-specific request codes. If either the signature or  
23 version number is incorrect, the host assumes at block 311 that the device does not  
24 support host-specific request codes, and no further attempts are made to use this  
25 feature.

1 The signature field of the host-specific request descriptor block is what  
2 provides backward compatibility. A non-compatible device (one that doesn't  
3 support host-specific request codes) might use the predetermined *wValue* 03EE  
4 (hex) to store some other string descriptor, which will be returned to the host  
5 without any indication of problems. However, this will become apparent to the  
6 host after it examines the data in the location where the signature is supposed to  
7 be. If the signature is not found, the host knows that the returned descriptor is not  
8 of the type requested, and will assume that the device does not support host-  
9 specific request codes.

10 If the signature and version are confirmed in block 310, the host at block  
11 312 reads the device-specific request code from the *bVendorCode* field, and uses it  
12 in the future as a host-specific request code, to initiate the host-specific device  
13 request. When using the device, the host sends the host-specific device request by  
14 specifying the obtained device-specific request code as part of a control transfer.  
15 The device responds by performing one or more predefined actions or functions  
16 that correspond to the host-specific device request, in accordance with the  
17 specification of the host-specific device request.

18 The host-specific device request itself is in the format of a normal USB  
19 control transfer, including the fields discussed in the "Background" section above.  
20 The *bRequest* field is set to the value of the *bVendorCode* field of the host-specific  
21 request descriptor, which was earlier obtained from the peripheral device. The  
22 *bmRequestType* field is set to 11000001 (binary), indicating a device-to-host data  
23 transfer, a "vendor" or device-specific request type, and a device recipient.  
24  
25

1 The *wValue* and *wIndex* fields are used as defined by the definition of the  
2 host-specific device request. The *wLength* field indicates the number of bytes to  
3 transfer if there is a subsequent data transfer phase in the device request.

4 In a current implementation of this system, the host-specific device request  
5 is used to request one of a plurality of available host-defined string descriptors  
6 from the device. The *wIndex* field of the host-specific device request indicates  
7 which of the plurality of strings are to be returned. The device returns the  
8 descriptor referred to by *wIndex*.

9 The techniques described above allow an operating system designer to  
10 specify informational descriptors that devices can implement to provide additional  
11 data about themselves—data that is not directly addressed by the USB  
12 specification. For example, the techniques described above advantageously allow  
13 an operating system designer to specify the extended property descriptor 122 of  
14 Fig. 1, which is described in greater detail below in reference to Fig. 4 and Tables  
15 1-2. Moreover, the techniques provide these advantages while retaining backward  
16 compatibility and without requiring changes to the USB specification.

## 18 **Exemplary Data Structures**

19 Fig. 4 shows elements of an exemplary data structure of property descriptor  
20 122. The property descriptor is an example of a host-specific request descriptor as  
21 described above. The property descriptor includes a header section 400 and a  
22 custom property section 402. The header section includes a number of elements  
23 404 that describe the custom property section. The custom property section  
24 includes information corresponding to one or more control properties 406. Each  
25



1 instance of a control property 406 encapsulates information corresponding to a  
2 single custom property for the peripheral device 114 of Fig. 1.

3 Header section 400 stores information about the remaining portions of the  
4 extended property descriptor 122. Header section 400 includes the following  
5 fields:

- 6 • *dwLength*—the total length of the extended property descriptor;
- 7 • *bcdVersion*—the version number of the extended property  
8 descriptor;
- 9 • *wIndex*—is used to identify this particular extended property  
10 descriptor.
- 11 • *wCount*—the total number of control property entries 406 in the  
12 custom property section 402.

13 Using this information, an operating system or computer program application can  
14 parse the following custom property section 402.

15 Custom property section 402 is of variable size because it includes one or  
16 more custom property entries 406. Each control property section includes  
17 information that corresponds to a single custom property for the peripheral device  
18 114 of Fig. 1. The custom property section includes the following fields:

- 19 • *dwSize*—the total length of this particular custom property entry.  
20 (in one implementation, this includes header fields as well as name  
21 and property data.)
- 22 • *dwPropertyDataType*—the data type of the data that is stored in the  
23 property data buffer (indicated below by *bPropertyData* field);
- 24 • *wPropertyNameLength*—the size of the property name;
- 25 • *bPropertyName*—the name of the property;

- *dwPropertyDataLength*—the total size of the property data;
- *bPropertyData*—the property data.

### **Example Property Descriptor Use**

For an operating system to use a property descriptor stored on a device, the device must first provide information to the operating system that indicates that the device supports host-specific device requests. In this implementation, this is accomplished by storing the string descriptor shown in TABLE 1 at index 0xEE on the USB device. The string descriptor defines an operating system descriptor that is returned to the operating system in response to a USB device request as discussed above. In this implementation, the string descriptor index is 0xEE, however it could be stored at some other location in the USB device.

**TABLE 1**  
Example of an Operating System String Descriptor

```
const byte os_descriptor[]={
    0x12,           //length
    0x03,           // string descriptor type
    _M_,_S_,_F_,_T_,_1_,_0_,_0_, //data
    0x01,           //index
    0x00            //reserved
};
```

Next, the property descriptor is stored in a format specified by the operating system on the firmware of the USB device. The property descriptor is shown below in TABLE 2. In this implementation, the USB device is a USB compatible memory stick and the property descriptor defines a string property of the USB device.

**TABLE 2**

Example of a Property Descriptor for a USB Memory Stick Device

```
const byte mem_stick_descriptor[]={ //header section
    0x00000044, // dwLength (DWORD)
    0x01000, // bcdVersion
    0x0005, // wIndex
    0x0001, // wCount

    // custom property section
    0x0000003A, // dwSize
    0x00000001, // dwPropertyDataType
    0x0016, // wPropertyNameLength
    //bPropertyName
    _D_,_E_,_V_,_I_,_C_,_E_,_G_,_R_,_O_,_U_,_P_,
    0x00000016, //dwPropertyDataLength
    //bPropertyData
    _M_,_E_,_M_,_O_,_R_,_Y_,_S_,_T_,_I_,_C_,_K_,
};
```

When the USB memory stick device is attached to the USB port on the computer, the operating system retrieves the property descriptor from the memory stick by using the following API call:

```
GET_DESCRIPTOR(
    bmRequestType = 1100 0001B, // device-to-host data transfer
    bRequest = 0x01, // this request
    wValue = 0x0000, // string descriptor indication
    wIndex = 0x0005); // identification for this descriptor
```

More particularly, the USB device receives the GET\_DESCRIPTOR device request and in response returns the property descriptor to the operating system. Upon receiving the property descriptor, the operating system extracts the GUI information to display special names, icons, URLs, and the like, to present a

1 GUI that is appropriate for the device. By having this information present in the  
2 firmware of the USB device, a user only needs to attach the device to a host  
3 computer, rather than use additional installation media to obtain the GUI  
4 information.

## 6 **Conclusion**

7 Traditional systems and procedures typically require OEMs/IHVs to  
8 distribute installation media such as installation disks or setup programs with each  
9 USB device to specify device-specific UI information. In contrast to such  
10 traditional systems and procedures, the inventive concepts described above  
11 provide for the use of a host-specific device request to obtain device-specific UI  
12 information from a USB device. Thus, in contrast to such traditional systems and  
13 procedures, OEMs and IHVs that implement the host-specific device request for  
14 an extended property descriptor are not required to distribute installation media  
15 with each USB device to specify device-specific UI information.

16 Although the subject matter has been described in language specific to  
17 structural features and/or methodological steps, it is to be understood that the  
18 subject matter defined in the appended claims is not necessarily limited to the  
19 specific features or steps described. Rather, the specific features and steps are  
20 disclosed as preferred forms of implementing the claimed subject matter.